

Categories of matroids and matroid bundles

Oliver Lorscheid
IMPA / University of Groningen

Based on joint ideas
with Matthew Baker, Manoel Jarra and Tong Jin

I. Bands (joint with Baker and Jin)

Pointed monoids

A **pointed monoid** is a commutative semigroup A with 1 and 0 , i.e. $1 \cdot a = a$ and $0 \cdot a = 0$ for all $a \in A$.

The **unit group** of A is

$$A^\times = \{a \in A \mid ab = 1 \text{ for some } b \in A\}.$$

The **ambient semiring** of A is

$$A^+ = \mathbb{N}[A] / \langle 0_A \sim 0_{\mathbb{N}[A]} \rangle = \{ \sum a_i \mid a_i \in A - \{0\} \}.$$

An **ideal** of A^+ is a subset I such that $0 \in I$, $I + I = I$ and $A^+ \cdot I = I$.

Bands

A **band** is a pointed monoid B together with an ideal $N_B \subset B^+$ (the *nullset*) such that every $a \in B$ has a unique $-a \in B$ (its *additive inverse*) with $a + (-a) \in N_B$.

A **band morphism** is a multiplicative map $f : B \rightarrow C$ with $f(0) = 0$ and $f(1) = 1$ such that $\sum f(a_i) \in N_C$ for all $\sum a_i \in N_B$.

This defines the category **Bands**.

An **idyll** is a band B with $B^\times = B - \{0\}$.

Examples

- ▶ A ring R defines the band $B = (R, \cdot)$ with nullset

$$N_B = \{ \sum a_i \mid \sum a_i = 0 \text{ in } R \}.$$

If R is a field, then B is an idyll.

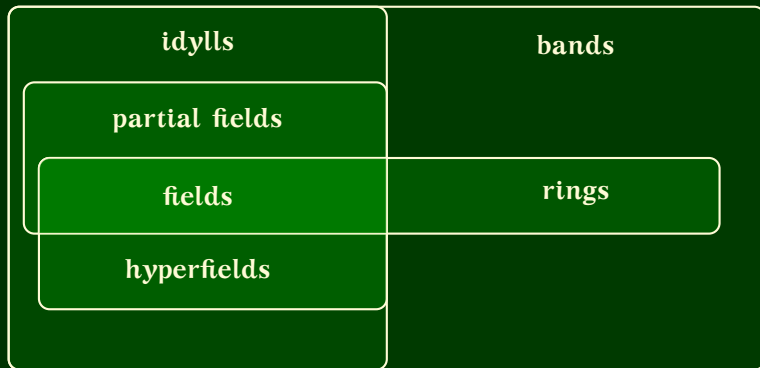
- ▶ Other examples of idylls:

$\mathbb{K} = \{0, 1\}$	$N_{\mathbb{K}} = \{n.1 \mid n \neq 1\}$	<i>Krasner hyperfield</i>
$\mathbb{S} = \{0, \pm 1\}$	$N_{\mathbb{S}} = \{n.1 + m.(-1) \mid n = m = 0 \text{ or } n \neq 0 \neq m\}$	<i>sign hyperfield</i>
$\mathbb{T} = \mathbb{R}_{\geq 0}$	$N_{\mathbb{T}} = \{\sum a_i \mid \text{maximum occurs twice}\}$	<i>tropical hyperfield</i>
$\mathbb{F}_1^{\pm} = \{0, \pm 1\}$	$N_{\mathbb{F}_1^{\pm}} = \{n.1 + n.(-1) \mid n \geq 0\}$	<i>regular partial field</i>

Categorical landscape

Baker-Bowler theory

towards geometry



II. Baker-Bowler theory

B -matroids

Let $E = \{1, \dots, n\}$ and $0 \leq r \leq n$. Let B be an idyll.

A **Grassmann-Plücker function** (of rank r on E) in B is a non-trivial and alternating map $\Delta : E^r \rightarrow B$ that satisfies the *Plücker relations*

$$\sum_{k=0}^r (-1)^k \Delta(e_0, \dots, \widehat{e_k}, \dots, e_r) \Delta(e_k, d_2, \dots, d_r) \in N_B$$

for all $e_0, \dots, e_r, d_2, \dots, d_r \in E$.

A **B -matroid** (of rank r on E) is a B^\times -class $M = [\Delta]$ of a Grassmann-Plücker function $\Delta : E^r \rightarrow B$.

Baker-Bowler theory

Example

1. A matroid M of rank r on E corresponds to the \mathbb{K} -matroid $[\Delta : E^r \rightarrow \mathbb{K}]$ with

$$\Delta(e_1, \dots, e_r) = \begin{cases} 1 & \text{if } \{e_1, \dots, e_r\} \text{ is a basis of } M; \\ 0 & \text{if not.} \end{cases}$$

2. Oriented matroids correspond to \mathbb{S} -matroids.
3. Valuated matroids correspond to \mathbb{T} -matroids.

Theorem (Baker-Bowler '19)

- ▶ *Cycles*: $C(M) \subset B^n$, with cryptomorphic axioms
- ▶ *Duality*: M^*
- ▶ *Orthogonality*: $C(M) \perp C(M^*)$
- ▶ *Vectors*: $\mathcal{V}(M) = C(M^*)^\perp$, axioms by [Anderson '19]
- ▶ *Minors*: $M \setminus I / J$

Perfect idylls

An idyll B is **perfect** if $\mathcal{V}(M) \perp \mathcal{V}(M^*)$ for every B -matroid M .

Example

1. All (partial) fields are perfect.
2. \mathbb{K} , \mathbb{S} and \mathbb{T} are perfect.
3. There are many non-perfect idylls.

III. Morphisms

(joint with Baker and Jarra)

\mathbb{F}_1 -linear maps and pointed B -matroids

Let $E_i = \{0, 1, \dots, n_i\}$ for $i = 1, 2$.

A map $f : E_1 \rightarrow E_2$ is **\mathbb{F}_1 -linear** if $f(0) = 0$ and if $\#f^{-1}(d) \leq 1$ for all $d \in E_2 - \{0\}$.

The **adjoint** of f is

$$f^\# : E_2 \longrightarrow E_1$$
$$d \longmapsto \begin{cases} e & \text{if } f^{-1}(d) = \{e\}, \\ 0 & \text{if } f^{-1}(d) = \emptyset \text{ or } d = 0. \end{cases}$$

Let B an idyll. A **pointed B -matroid** is a B -matroid $M = [\Delta : E^r \rightarrow B]$ for which 0 is a **loop**, i.e. $\Delta(0, e_2, \dots, e_r) = 0$ for all $e_2, \dots, e_r \in E$.

Morphisms over perfect idylls

Assume that B is perfect. Let $M_i = [\Delta_i : E_i^{r_i} \rightarrow B]$ be pointed B -matroids for $i = 1, 2$.

A **morphism** $M_1 \rightarrow M_2$ is a **strong** \mathbb{F}_1 -linear map $f : E_1 \rightarrow E_2$, i.e. $f^* : B^{E_2} \rightarrow B^{E_1}$ restricts to $\mathcal{V}(M_2^*) \rightarrow \mathcal{V}(M_1^*)$.

This defines the category \mathbf{Mat}_B of pointed B -matroids.

Theorem

Let $f : E_1 \rightarrow E_2$ an \mathbb{F}_1 -linear map. Then the following are equivalent:

1. f defines a morphism $M_1 \rightarrow M_2$.
2. $f^\# : E_2 \rightarrow E_1$ defines a morphism $M_2^* \rightarrow M_1^*$.
3. $f^*(\mathcal{C}(M_2^*)) \perp \mathcal{C}(M_1)$.
4. For all $e_0, \dots, e_{r_1} \in E_1$ and $d_2, \dots, d_{r_2} \in E_2$,

$$\sum_{k=0}^{r_1} (-1)^k \Delta_1(e_0, \dots, \widehat{e}_k, \dots, e_{r_1}) \Delta_2(f(e_k), d_2, \dots, d_{r_2}) \in N_B.$$

Examples

Let M be a pointed B -matroid and S a pointed subset of M .

1. The *restriction* $M|_S \rightarrow M$ is a morphism.
2. The *contraction* $M \rightarrow M/S$ is a morphism.
3. Matroid *quotients* $M \rightarrow N$ are morphisms.
4. Identifying parallel elements defines a strong map $M \rightarrow N$ that is *not* \mathbb{F}_1 -linear.

Perfection

Remark

If B is not perfect, then restrictions and contractions fail to preserve vectors in general.

Let B be an idyll. The **perfection** of B is the limit $B^{\text{perf}} = \lim P$ over all morphisms $B \rightarrow P$ into perfect idylls P .

Theorem

1. B^{perf} is perfect.
2. The canonical morphism $\omega : B \rightarrow B^{\text{perf}}$ is a bijection.
3. $N_{B^{\text{perf}}} = \bigcap N_P$ as subsets of B^+ where N_P ranges over all bijective morphisms $B \rightarrow P$ into perfect idylls P .

Problem

We do not know an explicit description of $N_{B^{\text{perf}}}$.

Preperfect B -matroids

We define the category of **preperfect B -matroids** as $\text{Mat}_B = \text{Mat}_{B^{\text{perf}}}$.

Remark

Every B -matroid (in Baker-Bowler's sense) is preperfect.
Both notions agree if B is perfect.

IV. Matroid bundles (joint with Baker)

Band schemes

An **affine band scheme** is a representable functor $\text{Hom}(B, -) : \text{Bands} \rightarrow \text{Sets}$.

A **band scheme** is a functor $X : \text{Bands} \rightarrow \text{Sets}$ that has an *open cover* by affine band schemes.

A **morphism** of band schemes is a morphism of functors.

Example

1. The **projective n -space** $\mathbb{P}^n : \text{Bands} \rightarrow \text{Sets}$ is defined as

$$\mathbb{P}^n(B) = (B^{n+1} - \{0\}) / B^\times = \{[a_0 : \cdots : a_n] \mid a_0, \dots, a_n \in B\}.$$

2. The **Grassmannian** $\text{Gr}(r, n) : \text{Bands} \rightarrow \text{Sets}$ is defined as

$$\text{Gr}(r, n)(B) = \{[\Delta(e_1, \dots, e_r)] \mid \text{Plücker relations}\} \subset \mathbb{P}^{n^r-1}(B).$$

The moduli space of matroids

Let X be a band scheme. A **matroid bundle** on X is an isomorphism class of a *Grassmann-Plücker function* $\Delta : E^r \rightarrow \Gamma(X, \mathcal{L})$ where \mathcal{L} is a *line bundle* on X .

Theorem

1. For every idyll B and $X = \text{Hom}(B, -)$, there is a canonical bijection

$$\Phi_B : \{B\text{-matroids}\} \longrightarrow \{\text{matroid bundles on } X\}.$$

2. For every band scheme X , there is a canonical bijection

$$\Psi_X : \text{Hom}(X, \text{Gr}(r, n)) \longrightarrow \left\{ \begin{array}{l} \text{matroid bundles on } X \\ \text{of rank } r \text{ on } \{1, \dots, n\} \end{array} \right\}.$$

The **universal family** on $\text{Gr}(r, n)$ is $\mathcal{M}^{\text{univ}} = \Psi_{\text{Gr}(r, n)}(\text{id}_{\text{Gr}(r, n)})$.
The matroid bundle $\Psi_X(\varphi : X \rightarrow \text{Gr}(r, n))$ is the *pullback* $\varphi^* \mathcal{M}^{\text{univ}}$.

Morphisms

Step 1: Explicit construction of a coherent subsheaf $\mathcal{C}^{\text{univ}} = \mathcal{C}_{\mathcal{M}^{\text{univ}}}$ (the *universal cycle bundle*) of $\mathcal{O}_{\text{Gr}(r,n)}^n$.

Step 2: For a matroid bundle $\mathcal{M} = \varphi^* \mathcal{M}^{\text{univ}}$ on a band scheme X , we define its **cycle bundle** as $\mathcal{C}_{\mathcal{M}} = \varphi_{\mathcal{M}}^* \mathcal{C}^{\text{univ}}$.

Remark: This recovers Baker-Bowler's cycles of a B -matroid.

Step 3: The **vector bundle** of \mathcal{M} is the coherent subsheaf $\mathcal{V}_{\mathcal{M}} = \mathcal{C}_{\mathcal{M}^*}^{\perp}$ of \mathcal{O}_X^n .

Problem: In general, $\mathcal{V}_{\mathcal{M}^*}$ is *not* orthogonal to $\mathcal{V}_{\mathcal{M}}$.

Step 4: Construct the **perfection** X^{perf} of a band scheme X . We have $\mathcal{V}_{\mathcal{M}^*} \perp \mathcal{V}_{\mathcal{M}}$ for all matroid bundles \mathcal{M} on X^{perf} .

Step 5: A **morphism** $\mathcal{M}_1 \rightarrow \mathcal{M}_2$ of pointed matroid bundles on X^{perf} is an \mathbb{F}_1 -linear map $f : E_1 \rightarrow E_2$ between the respective ground sets such that $f^* : \mathcal{O}_X^{E_2} \rightarrow \mathcal{O}_X^{E_1}$ maps $\mathcal{V}_{\mathcal{M}_2^*}$ to $\mathcal{V}_{\mathcal{M}_1^*}$.

V. The Tutte-Grothendieck ring

The Tutte-Grothendieck ring

The **Tutte-Grothendieck ring** $K_0^{\text{mat}}(\mathbb{K})$ is the quotient of the free abelian group $\bigoplus \mathbb{Z} \cdot [M]$ generated by all isomorphism classes $[M]$ of (pointed) matroids modulo all relations of the form

$$[M] = [M \setminus e] + [M/e]$$

where e is an element of M that is neither a loop nor a coloop. The product $[M] \cdot [N] = [M \oplus N]$ turns $K_0^{\text{mat}}(\mathbb{K})$ into a ring.

Theorem (Tutte)

The map $\alpha \mapsto [U(1, 1)]$ and $\beta \mapsto [U(0, 1)]$ defines a ring isomorphism $\mathbb{Z}[\alpha, \beta] \rightarrow K_0^{\text{mat}}(\mathbb{K})$, which maps the Tutte polynomial $T_M(\alpha, \beta)$ of a matroid M to its class $[M]$ in $K_0^{\text{mat}}(\mathbb{K})$.

Algebraic K -theory

The **algebraic K -theory** $K_0^{\text{alg}}(\mathbb{K})$ of $\text{Mat}_{\mathbb{K}}$ is the free abelian group $\bigoplus \mathbb{Z} \cdot [M]$ generated by all isomorphism classes $[M]$ of pointed matroids modulo all relations of the form

$$[M] = [M|_S] + [M/S] \quad (\text{"}0 \rightarrow M|_S \rightarrow M \rightarrow M/S \rightarrow 0\text{"})$$

where S is a pointed subset of M .

Theorem (Eppolito-Jun-Szczesny)

The map $\alpha \mapsto [U(1, 1)]$ and $\beta \mapsto [U(0, 1)]$ defines a group isomorphism $\mathbb{Z} \cdot \alpha \oplus \mathbb{Z} \cdot \beta \rightarrow K_0^{\text{alg}}(\mathbb{K})$.

Remark: The map

$$\text{deg} : K_0^{\text{mat}}(\mathbb{K}) = \mathbb{Z}[\alpha, \beta] \longrightarrow \mathbb{Z} \cdot \alpha \oplus \mathbb{Z} \cdot \beta = K_0^{\text{alg}}(\mathbb{K})$$

with $\text{deg } P = (\text{deg}_{\alpha} P, \text{deg}_{\beta} P)$ is a valuation:

$$\begin{aligned} \text{deg}(1) &= 0, & \text{deg}(P + Q) &\leq \max\{\text{deg } P, \text{deg } Q\}, \\ \text{deg}(P \cdot Q) &= \text{deg } P + \text{deg } Q, & \text{deg}([M]) &= [M]. \end{aligned}$$

Matroidal K -theory

Let X be a band scheme. The **matroidal K -theory** of X is

$$K_0^{\text{mat}}(X) = \bigoplus_{\substack{\text{isomorphism} \\ \text{classes } [\mathcal{M}]}} \mathbb{Z} \cdot [\mathcal{M}] / \langle [\mathcal{M}] - [\mathcal{M} \setminus e] - [\mathcal{M}/e] \rangle$$

where \mathcal{M} is a pointed matroid bundle on X and e is not a loop nor a coloop of \mathcal{M} . It is a ring w.r.t. $[\mathcal{M}] \cdot [\mathcal{N}] = [\mathcal{M} \oplus \mathcal{N}]$.

The **algebraic K -theory** of X is

$$K_0^{\text{alg}}(X) = \bigoplus_{\substack{\text{isomorphism} \\ \text{classes } [\mathcal{M}]} } \mathbb{Z} \cdot [\mathcal{M}] / \langle [\mathcal{M}] - [\mathcal{M}|_S] - [\mathcal{M}/S] \rangle$$

where S is a pointed subset of \mathcal{M} .

The association $[\mathcal{M}] \mapsto [\mathcal{M}]$ defines a valuation

$$\text{deg} : K_0^{\text{mat}}(X) \longrightarrow K_0^{\text{alg}}(X).$$

The universal Tutte class

The **universal Tutte class** on $\text{Gr}(r, n)$ is the class of the universal matroid bundle $\mathcal{M}^{\text{univ}}$ in $K_0^{\text{mat}}(\text{Gr}(r, n))$.

The pullback of matroid bundles along a morphism $\varphi : Y \rightarrow X$ of band schemes defines a ring homomorphism

$$\varphi^* : K_0^{\text{mat}}(X) \longrightarrow K_0^{\text{mat}}(Y).$$

Theorem

Let M be a matroid and $\mathcal{M} = \varphi^ \mathcal{M}^{\text{univ}}$ the corresponding matroid bundle on $\text{Hom}(\mathbb{K}, -)$. Then the Tutte polynomial of M is the pullback $\varphi^*([\mathcal{M}^{\text{univ}}])$ as a class of $K_0^{\text{mat}}(\mathbb{K}) = \mathbb{Z}[\alpha, \beta]$.*

Fink-Speyer's theorem

The morphisms of complex varieties

$$\mathrm{Gr}(r, n)_{\mathbb{C}} \xleftarrow{\pi_r} \mathrm{Fl}(1, r, n-1; n)_{\mathbb{C}} \xrightarrow{\pi_{1, n-1}} \mathbb{P}_{\mathbb{C}}^{n-1} \times (\mathbb{P}_{\mathbb{C}}^{n-1})^{\vee}$$

induce homomorphisms

$$\begin{array}{ccc} K_0(\mathrm{Gr}(r, n)_{\mathbb{C}}) & \xrightarrow{\Xi} & \mathbb{Z}[\alpha_0, \beta_0] / \langle \alpha_0^n, \beta_0^n \rangle \\ \downarrow \pi_r^* & & \simeq \uparrow \\ K_0(\mathrm{Fl}(1, r, n-1; n)_{\mathbb{C}}) & \xrightarrow{\pi_{1, n-1, *}} & K_0(\mathbb{P}_{\mathbb{C}}^{n-1} \times (\mathbb{P}_{\mathbb{C}}^{n-1})^{\vee}) \end{array}$$

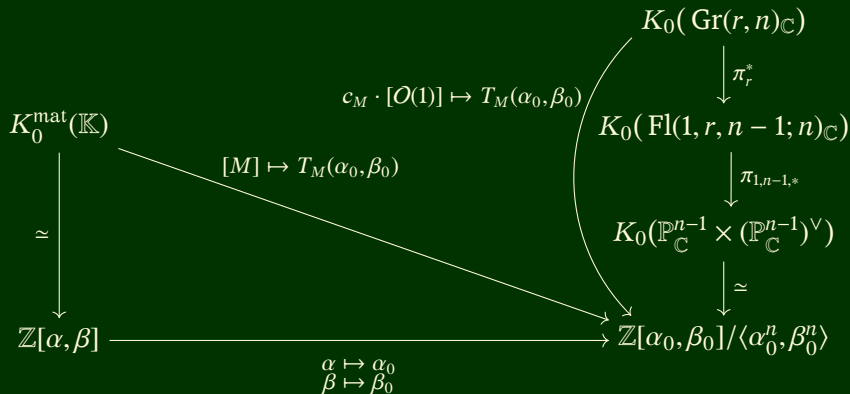
where α_0 and β_0 are the classes of a hyperplane of $\mathbb{P}_{\mathbb{C}}^{n-1}$ and of $(\mathbb{P}_{\mathbb{C}}^{n-1})^{\vee}$, respectively.

A matroid M defines a natural class $c_M \in K_0(\mathrm{Gr}(r, n)_{\mathbb{C}})$.

Theorem (Fink-Speyer)

$T_M(\alpha_0, \beta_0) = \Xi(c_M \cdot [\mathcal{O}(1)])$ is the Tutte polynomial of M , considered as element of $\mathbb{Z}[\alpha_0, \beta_0] / \langle \alpha_0^n, \beta_0^n \rangle$.

Can we recover Fink-Speyer's theorem?



Can we recover Fink-Speyer's theorem?

$$\begin{array}{ccccc}
 & & K_0^{\text{mat}}(\text{Gr}(r, n)) & \xrightarrow{\det} & K_0(\text{Gr}(r, n)_{\mathbb{C}}) \\
 & \nearrow \pi^* & \downarrow \pi_r^* & & \downarrow \pi_r^* \\
 K_0^{\text{mat}}(\mathbb{K}) & \xleftarrow{\chi_M^*} & K_0^{\text{mat}}(\text{Fl}(1, r, n-1; n)) & \xrightarrow{\det} & K_0(\text{Fl}(1, r, n-1; n)_{\mathbb{C}}) \\
 \downarrow \cong & \searrow \pi^* & \uparrow \pi_{1, n-1}^* & & \uparrow \pi_{1, n-1}^* \downarrow \pi_{1, n-1, *} \\
 & & K_0^{\text{mat}}(\mathbb{P}^{n-1} \times (\mathbb{P}^{n-1})^{\vee}) & \xrightarrow{\det} & K_0(\mathbb{P}_{\mathbb{C}}^{n-1} \times (\mathbb{P}_{\mathbb{C}}^{n-1})^{\vee}) \\
 & & & & \downarrow \cong \\
 \mathbb{Z}[\alpha, \beta] & \xrightarrow{\quad \quad \quad} & & & \mathbb{Z}[\alpha_0, \beta_0] / \langle \alpha_0^n, \beta_0^n \rangle \\
 & & \alpha \mapsto \alpha_0 & & \\
 & & \beta \mapsto \beta_0 & &
 \end{array}$$