

# Moduli spaces in matroid theory

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Based on joint work

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# Prologue

# Spaces of matroids

Let  $E = \{1, \dots, n\}$  and  $0 \leq r \leq n$ .

**Combinatorial flag varieties** ([Borovic-Gelfand-White '00])

$$\Omega_{S_n} = \{\text{flag matroids on } E\},$$

where a flag matroid  $\mathbf{M} = (M_1, \dots, M_{k+1})$  defines a  $k$ -simplex.

**MacPhersonians** (after [Mnev-Ziegler '93])

$$\text{MacPh}(r, E) = \{\text{oriented matroids of rank } r \text{ on } E\},$$

which gain a topology / simplicial structure from weak maps.

**Dressians** (after [Speyer '08])

$$\text{Dr}(r, E) = \{\text{valuated matroids of rank } r \text{ on } E\},$$

considered as tropical varieties.

## Some exotic algebraic objects

The **Krasner hyperfield** is  $\mathbb{K} = \{0, 1\}$  together with the obvious multiplication and the addition table

+	0	1
0	0	1
1	1	0,1

The **sign hyperfield** is  $\mathbb{S} = \{0, 1, -1\}$  together with the obvious multiplication and the addition table

+	0	1	-1
0	0	1	-1
1	1	1	0, ±1
-1	-1	0, ±1	-1

The **tropical hyperfield** is  $\mathbb{T} = \mathbb{R}_{\geq 0}$  together with the obvious multiplication and the addition

$$a \boxplus b = \begin{cases} \{\max\{a, b\}\} & \text{if } a \neq b; \\ [0, a] & \text{if } a = b. \end{cases}$$

The **regular partial field** is  $\mathbb{F}_1^\pm = \{0, 1, -1\}$  together with the obvious multiplication and the addition table

+	0	1	-1
0	0	1	-1
1	1	-	0
-1	-1	0	-

## **Part 1. Bands (joint with Baker)**

# Pointed monoids

A **pointed monoid** is a commutative semigroup  $A$  with  $1$  and  $0$ , i.e.  $1 \cdot a = a$  and  $0 \cdot a = 0$  for all  $a \in A$ .

The **unit group** of  $A$  is

$$A^\times = \{a \in A \mid ab = 1 \text{ for some } b \in A\},$$

and the **ambient semiring** of  $A$  is

$$A^+ = \mathbb{N}[A] / \langle 0_A \sim 0_{\mathbb{N}[A]} \rangle = \{ \sum a_i \mid a_i \in A - \{0\} \}$$

An **ideal** of  $A^+$  is a subset  $I$  such that  $0 \in I$ ,  $A^+ \cdot I = I$  and  $I + I = I$ .

# Bands

A **band** is a pointed monoid  $B$  together with an ideal  $N_B \subset B^+$  (the *nullset*) and together with an element  $-1 \in B$  (the *negative one*) such that  $(-1)^2 = 1$  and  $1 - 1 := 1 + (-1)$  is in  $N_B$ .

A **band morphism** is a map  $f : B \rightarrow C$  such that

1.  $f(0) = 0$ ,  $f(1) = 1$  and  $f(-1) = -1$ ;
2.  $f(a \cdot b) = f(a) \cdot f(b)$ ;
3.  $\sum a_i \in N_B$  implies  $\sum f(a_i) \in N_C$

for all  $a, b, a_i \in B$ .

**Remark:** The category of bands has all limits and colimits, free algebras and “quotients”.

An **idyll** is a band  $B$  such that  $B^\times = B - \{0\}$  and such that  $a + b \in N_B$  if and only if  $a$  equals  $-b := (-1) \cdot b$ .

# Examples

- ▶ A ring  $R$  defines the band  $B = (R, \cdot)$  with nullset

$$N_B = \{ \sum a_i \mid \sum a_i = 0 \text{ in } R \}$$

and negative one  $-1$ . If  $R$  is a field, then  $B$  is an idyll.

- ▶ A hyperfield  $F$  defines the idyll  $B = (F, \cdot)$  with nullset

$$N_B = \{ \sum a_i \mid 0 \in \boxplus a_i \text{ in } F \}$$

and negative one  $-1$ . For instance,

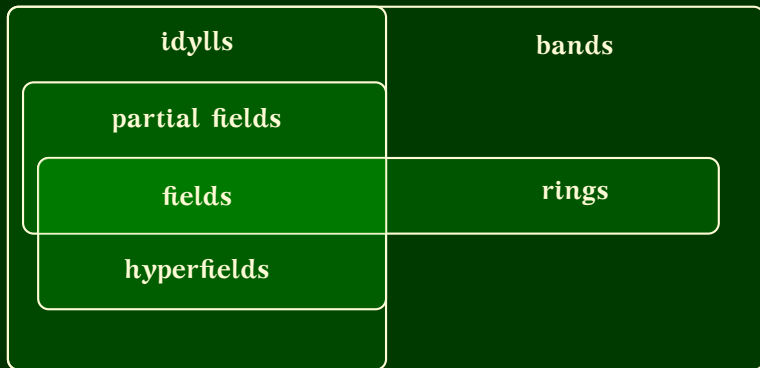
$\mathbb{K} = \{0, 1\}$	$N_{\mathbb{K}} = \{n.1 \mid n \neq 1\}$	<i>(terminal as idyll)</i>
$\mathbb{S} = \{0, \pm 1\}$	$N_{\mathbb{S}} = \{n.1 + m.(-1) \mid n = m = 0 \text{ or } n \neq 0 \neq m\}$	
$\mathbb{T} = \mathbb{R}_{\geq 0}$	$N_{\mathbb{T}} = \{ \sum a_i \mid \text{maximum occurs twice} \}$	
$\mathbb{F}_1^\pm = \{0, \pm 1\}$	$N_{\mathbb{F}_1^\pm} = \{n.1 + n.(-1) \mid n \geq 0\}$	<i>(initial as both band and idyll)</i>



# Categorical landscape

**Baker-Bowler theory**

**towards geometry**



## Part 2. Baker-Bowler theory

# $B$ -matroids

Let  $E = \{1, \dots, n\}$  and  $0 \leq r \leq n$ . Let  $B$  be an idyll.

A **Grassmann-Plücker function** (of rank  $r$  on  $E$ ) in  $B$  is a non-trivial and alternating map  $\Delta : E^r \rightarrow B$  that satisfies the *Plücker relations*

$$\sum_{k=1}^{r+1} (-1)^k \Delta(e_1, \dots, e_{r-1}, f_k) \Delta(f_1, \dots, \widehat{f_k}, \dots, f_{r+1}) \in N_B$$

for all  $e_1, \dots, e_{r-1}, f_1, \dots, f_{r+1} \in E$ .

A  **$B$ -matroid** (of rank  $r$  on  $E$ ) is a  $B^\times$ -class  $M = [\Delta]$  of a Grassmann-Plücker function  $\Delta : E^r \rightarrow B$ .

# Baker-Bowler theory

## Example

1. A matroid  $M$  of rank  $r$  on  $E$  corresponds to the  $\mathbb{K}$ -matroid  $[\Delta : E^r \rightarrow \mathbb{K}]$  with

$$\Delta(e_1, \dots, e_r) = \begin{cases} 1 & \text{if } \{e_1, \dots, e_r\} \text{ is a basis of } M; \\ 0 & \text{if not.} \end{cases}$$

2. Oriented matroids correspond to  $\mathbb{S}$ -matroids.
3. Valuated matroids correspond to  $\mathbb{T}$ -matroids.

## Theorem (Baker-Bowler '19)

- ▶ *Cycles:*  $C(M) \subset B^n$ , with cryptomorphic axioms
- ▶ *Duality:*  $M^*$
- ▶ *Orthogonality:*  $C(M) \perp C(M^*)$
- ▶ *Vectors:*  $\mathcal{V}(M) = C(M^*)^\perp$ , axioms by [Anderson '19]
- ▶ *Minors:*  $M \setminus I / J$

## Part 3. Band schemes (joint with Baker)

# Localizations

Let  $B$  be a band. A **multiplicative set** in  $B$  is a subset  $S$  of  $B$  such that  $1 \in S$  and  $S \cdot S = S$ .

The **localization** of  $B$  at  $S$  is the monoid

$$S^{-1}B = \left\{ \frac{a}{s} \mid a \in B, s \in S \right\},$$

together with the nullset

$$S^{-1}N_B = \left\langle \sum \frac{a_i}{1} \mid \sum a_i \in N_B \right\rangle$$

and the negative one  $\frac{-1}{1}$ .

A **prime ideal** of  $B$  is a subset  $\mathfrak{p}$  of  $B$  such that  $0 \in \mathfrak{p}$ ,  $B \cdot \mathfrak{p} = \mathfrak{p}$  and  $S = B - \mathfrak{p}$  is a multiplicative set in  $B$ .

In particular:

$$B[h^{-1}] = S^{-1}B \quad \text{for } h \in B \text{ and } S = \{h^i\}_{i \geq 0};$$

$$B_{\mathfrak{p}} = S^{-1}B \quad \text{for } \mathfrak{p} \subset B \text{ prime and } S = B - \mathfrak{p}.$$

# The spectrum

Let  $B$  be a band. The **spectrum of  $B$**  is the set

$$\text{Spec } B = \{\text{prime ideals } \mathfrak{p} \subset B\},$$

together with the topology generated by the *principal opens*

$$U_h = \{\mathfrak{p} \subset B \mid h \notin \mathfrak{p}\} \quad (\text{for } h \in B).$$

## Theorem

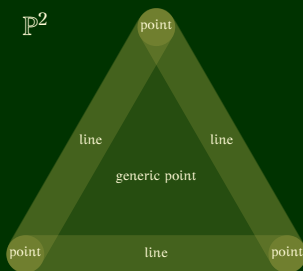
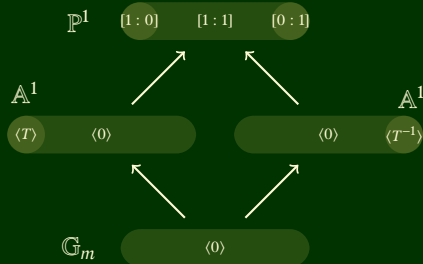
There exists a uniquely determined sheaf  $\mathcal{O}_X$  in bands on  $X = \text{Spec } B$  (the *structure sheaf*) such that

- ▶  $\mathcal{O}_X(U_h) = B[h^{-1}]$  for all  $h \in B$ ;
- ▶  $\mathcal{O}_{X,\mathfrak{p}} = B_{\mathfrak{p}}$  for all  $\mathfrak{p} \in X$ .

A **band scheme** is a topological space  $X$  together with a sheaf  $\mathcal{O}_X$  in bands that is locally isomorphic to the spectra of bands.

There is a natural notion of morphisms of band schemes.

# Examples of band schemes (over $\mathbb{F}_1^\pm$ )



Similarly, there are projective spaces  $\mathbb{P}^n$  for all  $n > 0$  over  $\mathbb{F}_1^\pm$ .

More generally, for every fan  $\Delta$  of cones in  $\mathbb{R}^n$ , there is a *toric band scheme*  $\Sigma(\Delta)$  over  $\mathbb{F}_1^\pm$ .



## Base extension to $\mathbb{Z}$ and descent to $\mathbb{F}_1^+$

Let  $B$  be a band. The **base extension** of  $B$  to  $\mathbb{Z}$  is the ring

$$B_{\mathbb{Z}}^+ = \mathbb{Z}[B]/\langle N_B \rangle.$$

This extends to the base extension for band schemes:

$$X = \bigcup \text{Spec } B_i \mapsto X_{\mathbb{Z}}^+ = \bigcup \text{Spec } B_{i,\mathbb{Z}}^+.$$

Conversely, the closed immersion  $X \rightarrow Y_{\mathbb{Z}}^+$  of a scheme  $X$  into the base extension of a band scheme  $Y$  defines a **band model**  $\mathcal{X}$  of  $X$  together with a closed immersion  $\mathcal{X} \rightarrow Y$ .

**Part 4. Moduli spaces of matroids  
(joint with Baker)**

# Matroid bundles and their moduli space

The *Plücker embedding*  $\mathrm{Gr}(r, n)_{\mathbb{Z}} \hookrightarrow \mathbb{P}_{\mathbb{Z}}^N$  (for  $N = \binom{n}{r} - 1$ ) defines the band model  $\mathrm{Gr}(r, n) \hookrightarrow \mathbb{P}^N$ .

There is a natural extension of  $B$ -matroids to *matroid bundles* over a band scheme  $X$  (in terms of Grassmann-Plücker functions), which poses the *moduli functor*

$$X \longmapsto \{\text{matroid bundles on } X\}.$$

Theorem (Baker-L '21)

$\mathrm{Gr}(r, n)$  is the fine moduli space of matroid bundles.

As a consequence, there is a canonical bijection

$$\mathrm{Gr}(r, n)(B) \longrightarrow \{B\text{-matroids}\}$$

for every idyll  $B$ .

**Part 5. Flag matroids with coefficients  
(joint with Jarra)**

# Flag $B$ -matroids

Let  $0 \leq r_1 \leq \cdots \leq r_s \leq n$  and  $\mathbf{r} = (r_1, \dots, r_s)$ . Let  $\text{Fl}(\mathbf{r}, n)_{\mathbb{Z}}$  be the flag variety of type  $\mathbf{r}$  flags in  $n$ -space. The closed immersion

$$\eta_{\mathbb{Z}} : \text{Fl}(\mathbf{r}, n)_{\mathbb{Z}} \longrightarrow \prod_{i=1}^s \text{Gr}(r_i, n)_{\mathbb{Z}}$$

defines the band model

$$\eta : \text{Fl}(\mathbf{r}, n) \longrightarrow \prod_{i=1}^s \text{Gr}(r_i, n).$$

## Definition

Let  $B$  be an idyll. A **flag  $B$ -matroid** is a sequence  $(M_1, \dots, M_s)$  of  $B$ -matroids that corresponds to a point in the image of

$$\eta_B : \text{Fl}(\mathbf{r}, n)(B) \longrightarrow \prod_{i=1}^s \text{Gr}(r_i, n)(B).$$

## Example

Flag matroids correspond to flag  $\mathbb{K}$ -matroids.

Valuated flag matroids (after [Brandt-Eur-Zhang '21]) correspond to flag  $\mathbb{T}$ -matroids.

# Baker-Bowler theory for flag matroids

Theorem (Jarra-L '22)

Let  $B$  be an idyll and  $\mathbf{M} = (M_1, \dots, M_s)$  a sequence of  $B$ -matroids of ranks  $\mathbf{r} = (r_1, \dots, r_s)$  on  $E$ . Then we have:

▶ *Cryptomorphic descriptions:*

1.  $\mathbf{M} \in \text{Fl}(\mathbf{r}, E)(B)$
2.  $\mathcal{C}(M_i^*) \subset \mathcal{V}(M_j^*)$  for all  $1 \leq i \leq j \leq s$
3. given  $M_i = [\Delta_i]$  for  $i = 1, \dots, s$ , we have

$$\sum_{k=1}^{r_j+1} (-1)^k \Delta_i(e_1, \dots, e_{r_i-1}, f_k) \Delta_j(f_1, \dots, \widehat{f_k}, \dots, f_{r_j+1})$$

for all  $i \leq j$  and  $e_1, \dots, e_{r_i-1}, f_1, \dots, f_{r_j+1} \in E$

- ▶ *Duality:*  $\mathbf{M}^* = (M_s^*, \dots, M_1^*)$
- ▶ *Orthogonality:*  $\mathcal{C}(M_i^*) \perp \mathcal{C}(M_j)$  for  $i \leq j$
- ▶ *Minors:*  $\mathbf{M} \setminus I/J = (M_1 \setminus I/J, \dots, M_s \setminus I/J)$

## Part 6. Applications

## Application 1 (with Baker)

A (usual) matroid  $M$  corresponds to a  $\mathbb{K}$ -rational point of  $\text{Gr}(r, n)$ , which comes with a “residue field”  $k_M$ .

The **foundation** of  $M$  is an idyll  $F_M$  derived from  $k_M$ .

Theorem (Baker-L '21)

*$M$  is representable over a (partial) field  $K$  (resp. is orientable) if and only if there exists a morphism  $F_M \rightarrow K$  (resp.  $F_M \rightarrow \mathbb{S}$ ).*

Further results:

1. Structure theorem for  $F_M$  of  $M$  without minors of types  $U(2, 5)$  and  $U(3, 5)$ , with manifold consequences.  
Sample application: let  $\mathcal{X}_M(K)$  be the variety of rescaling classes of  $M$  over a field  $K$ . Then for all ternary  $M$ ,

$$\# \mathcal{X}_M(\mathbb{F}_4) \cdot \# \mathcal{X}_M(\mathbb{F}_5) = \# \mathcal{X}_M(\mathbb{F}_8).$$

2. Computational methods for  $F_M$ , Macaulay2 package by Chen and Zhang.



## Application 2 (with Jarra)

We gain the notion of a strong map  $f : M \rightarrow N$  of  $B$ -matroids in terms of the cryptomorphic descriptions:

1.  $f(\mathcal{C}(M)) \subset \mathcal{V}(N)$ ;
2. for all  $e_1, \dots, e_{\text{rk}(M)-1} \in E_M$  and  $f_1, \dots, f_{\text{rk}(N)+1} \in E_N$ , we have

$$\sum_{k=1}^{\text{rk}(N)+1} (-1)^k \Delta_M(e_1, \dots, e_{\text{rk}(M)-1}, f_k) \cdot \Delta_N(f_1, \dots, \widehat{f_k}, \dots, f_{\text{rk}(N)+1}) \in N_B.$$

**Problem:** Strong maps are in general not composable.  
Thus we might need to impose additional requirements.

## Application 3 (with Baker / Jarra)

Let  $B$  be a band with topology, e.g.

- ▶  $\mathbb{R}$  with the usual real topology;
- ▶  $\mathbb{T} = \mathbb{R}_{\geq 0}$  with the real topology;
- ▶  $\mathbb{S}$  with the topology generated by  $\{1\}$  and  $\{-1\}$ .

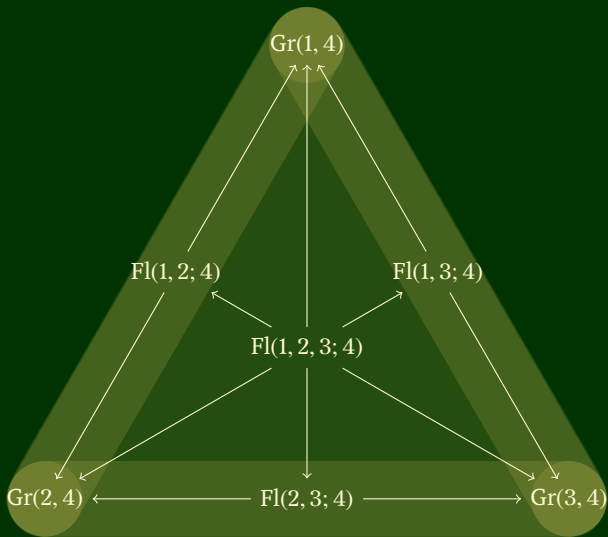
Then  $\text{Fl}(r, E)(B)$  inherits the *fine topology* (after [L-Salgado '16]).

We get:

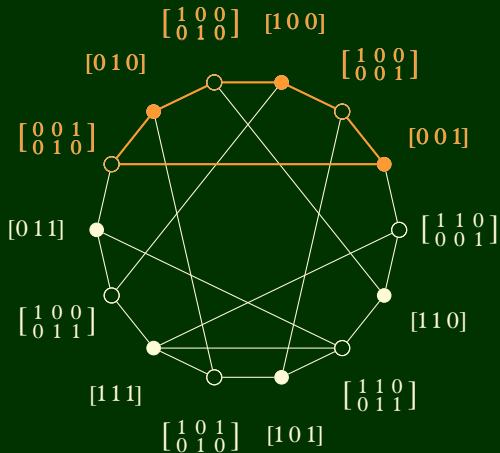
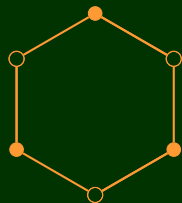
- ▶  $\text{Fl}(r, n)(\mathbb{R})$  is the real flag manifold (of type  $r$  flags in  $\mathbb{R}^n$ ).
- ▶  $\text{Gr}(r, n)(\mathbb{T})$  is the Dressian (of tropical linear spaces in  $\mathbb{T}^n$ ).
- ▶  $\text{Gr}(r, n)(\mathbb{S})$  is the MacPhersonian (of oriented matroids).
- ▶  $\Omega_{S_n} = \bigsqcup_r \text{Fl}(r, n)(\mathbb{K})$ , with face relation by omitting entries:

$$\text{Gr}(1, 3) \longleftarrow \text{Fl}(1, 2; 3) \longrightarrow \text{Gr}(2, 3)$$

# Face relations for flags on $E = \{1, \dots, 4\}$



# Revisited: the combinatorial flag variety $\Omega_{S_3}$



Coxeter complex of  $S_3$   
 $\cong$  closed points of  $\bigsqcup_r \text{Fl}(r, E)$

$\Omega_{S_3} \cong \mathbb{K}$ -points of  $\bigsqcup_r \text{Fl}(r, E)$

# Excerpt from “Coxeter matroids” by Borovik, Gelfand and White

*Many geometries over fields have formal analogues which can be thought of as geometries over the field of 1 element.*

*[...]*

*In general, the Coxeter complex  $\mathcal{W}$  of a Coxeter group  $W$  is a thin building of type  $W$  and behaves like the building of type  $W$  over the field of 1 element.*

*However, the Coxeter complex has a relatively poor structure. In many aspects,  $\Omega_W$  and  $\Omega_W^*$  are more suitable candidates for the role of a “universal” combinatorial geometry of type  $W$  over the field of 1 element.*